

Proof of the Goldberg-Seymour Conjecture - II

11th Cargèse Workshop on Combinatorial Optimization

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General setting

Tashkinov tree

the new extension

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the theorem:

If $\chi'(G) \geq \Delta(G) + 2$ then $\chi'(G) = \omega(G)$,
where

$$\begin{aligned}\omega(G) &= \lceil \omega^*(G) \rceil \\ \omega^*(G) &= \max \left\{ \frac{2|E(H)|}{|H| - 1} : H \subseteq G, |H| \geq 3 \text{ and odd} \right\}\end{aligned}$$

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Assuming:

G is a critical graph, $\chi'(G) = k + 1 \geq \Delta(G) + 2$, $e \in E(G)$ and $\varphi \in \mathcal{C}^k(G - e)$ is a k -edge coloring of $G - e$.

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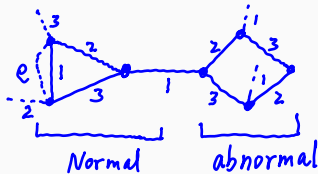
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- ▶ For each $X \subseteq V(G)$, define $\bar{\varphi}(X) = \cup_{x \in X} \bar{\varphi}(x)$.
subgraph $H \subseteq G$, $\bar{\varphi}(H) = \bar{\varphi}(V(H))$

normal, closed, and strongly closed

$U \subseteq V$ normal: (borrowed from Andras)

$\bar{\varphi}(u) \cap \bar{\varphi}(v) = \emptyset$ for any two distinct vertices $u, v \in U$.



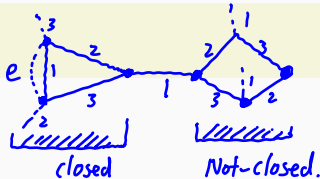
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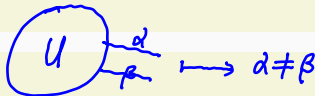
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U **strongly closed:**

closed and colors on $\partial(U)$ are distinct, i.e., $|\partial_\alpha(U)| \leq 1$ for each color α .



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Find a $U \subseteq V(G)$ with $e \in E[U]$ which is both **normal** and **strongly closed**.

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$E_\alpha(U)$ is a near-perfect matching for $G[U]$ for every color $\alpha \in [k]$.

$$\longrightarrow |E_\alpha(U)| = \frac{|U|-1}{2}$$

$$\longrightarrow |E(U)| = \sum_{\alpha \in [k]} |E_\alpha(U)| + |f_e|$$

$$= \frac{k(|U|-1)}{2} + 1$$

$$\longrightarrow \frac{2|E(U)|}{|U|-1} = k + \dots > k$$

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$\omega^*(G) > k$:

$$|E[U]| = k(|U| - 1)/2 + 1.$$

Tashkinov tree

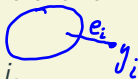
Tashkinov tree

Definition

A *Tashkinov tree* with respect to e and φ is a sequence

$T = (y_0, e_1, y_1, \dots, e_p, y_p)$ with $p \geq 1$:

- (T1) The vertices y_0, \dots, y_p are distinct, $e_1 = e$ and for $i = 1, \dots, p$, we have $e_i \in E_G(\{y_0, \dots, y_{i-1}\}, y_i)$.
- (T2) For every $i \geq 2$, $\varphi(e_i) \in \overline{\varphi}(y_h)$ for some $h < i$.



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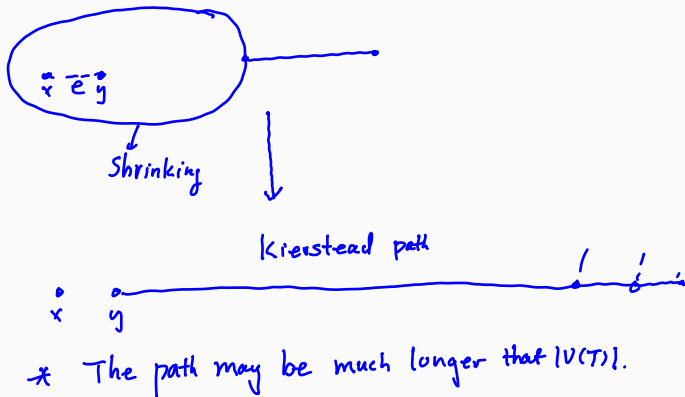
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Theorem (Tashkinov, 2000)

$V(T)$ is elementary provided $\chi'(G) = k + 1 \Delta(G) + 2$ and e is a critical edge, and $\varphi \in \mathcal{C}^k(G - e)$.

proof of Tashkinov theorem



maximal Tashkinov trees – closure:

Observation:

all maximal Tashkinov trees are closed (its vertex set) and have the same set of vertices.

↓
no lobes

also called a closure.



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problem:

Can we find a way to extend a Tashkinov tree to a strongly closed set, but keep the normality?

too many missing colors

$$|X| \leq k/(k - \Delta):$$

for every elementary set X such that $e \in E[X]$.

normal

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Proof.

Otherwise, since $|\bar{\varphi}(v)| \geq k - \Delta$ and \dots , we have

$$k \geq \sum_{v \in X} |\bar{\varphi}(x)| + 2 > |X|(k - \Delta) > k, \text{ a contradiction.}$$



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Scheide, 2010, C., Yu and Zang, 2009:

If $\chi'(G) \geq \Delta + \sqrt{\Delta/2}$, then $\chi'(G) = \omega(G)$.

Proof.

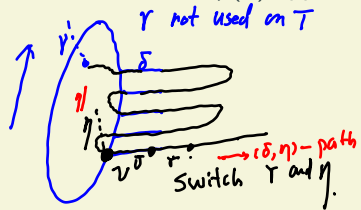
- ▶ Suppose there does not exist a **strongly closed** maximal Tashkinov tree.



Scheide's proof

Proof.

- Suppose there does not exist a **strongly closed** maximal Tashkinov tree.
- There is a maximal Tashkinov tree T and a vertex $v \in V(T)$ such that $\overline{\varphi}(v) \subseteq \varphi(E(T))$, and moreover, each color in $\overline{\varphi}(v)$ appears at least twice on $E(T)$



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- ▶ $|V(T)| \geq 2(k - \Delta) + 2$
- ▶ $|\overline{\varphi}(V(T))| = \sum_{v \in V(T)} 2|\overline{\varphi}(v)| > 2|V(T)| \cdot (k - \Delta) > k$,
a contradiction.

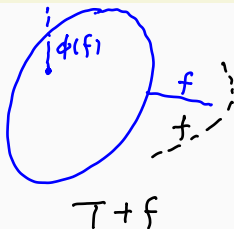


an extension

TAA algorithm:

Suppose we have a tree sequence $T = (y_0, e_1, y_1, \dots, e_p, y_p)$ and $f \in \partial(T)$. If $\varphi(f) \in \overline{\varphi}(T)$, let

$T := T + f = (y_0, e_1, y_1, \dots, e_p, y_p, f, y_{p+1})$, where y_{p+1} is the end of f outside T .



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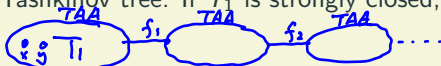
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- ▶ $T_3 \dots$

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Suppose $\chi'(G) \neq \omega(G)$. For every extended Tashkinov tree T we have

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If $\chi'(G) \geq \Delta + \sqrt[3]{\Delta/2}$, then $\chi'(G) = \omega(G)$.

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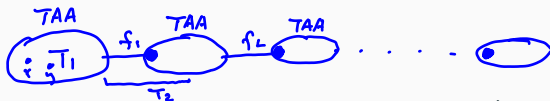
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- ▶ $|T| \geq |\overline{\varphi}(T_1)| > 2(k - \Delta)^2$.
- ▶ $|\overline{\varphi}(T)| > |T|(k - \Delta)^2 \geq 2(k - \Delta)^3$.



the first vertices



Let $T_1 \subset T_2 \subset T_3 \cdots \subset T_n \subset T_{n+1}$, and $v_i \in V(T_{i+1})$ is the first vertex added to T_i .

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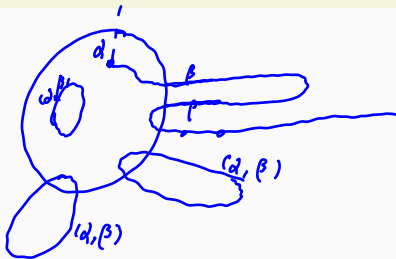
- ▶ We **CANNOT** prove $T_i + v_i$ is elementary in general.
- ▶ We **cannot restrict** our consideration to one coloring φ or a few colorings related to it.

the structure of extension

a property of maximal Tashkinov tree

Lemma

Let (G, e, φ) be a k -triple, let T be a maximal Tashkinov tree with respect to e and φ , and let α and β be two colors in $[k]$ with $\overline{\varphi}(T) \cap \{\alpha, \beta\} \neq \emptyset$. Then there is at most one (α, β) -path with respect to φ intersecting T .
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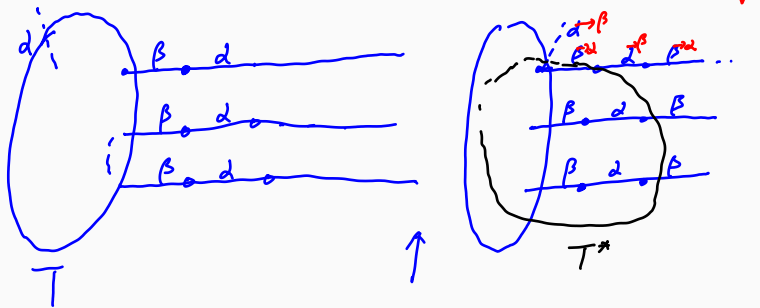
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proof

Assume the contrary: there are at least two (α, β) -paths Q_1 and Q_2 with respect to φ intersecting T . Since $V(T)$ is normal and closed, precisely one of α and β , say α , is in $\overline{\varphi}(T)$. Thus at least three ends of Q_1 and Q_2 are outside T . Traversing Q_1 and Q_2 from these ends respectively, we can find at least three $(T, \varphi, \{\alpha, \beta\})$ -exit paths P_1, P_2, P_3 . We call the tuple $(\varphi, T, \alpha, \beta, P_1, P_2, P_3)$ a *counterexample* and use \mathcal{K} to denote the set of all such counterexamples.

let $(\varphi, T, \alpha, \beta, P_1, P_2, P_3)$ be a counterexample in \mathcal{K} with the minimum $|P_1| + |P_2| + |P_3|$.



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- ▶ Special colorings?

a (T, C, φ) -stable coloring π :

A coloring satisfying the following two conditions.

- (i) $\pi(f) = \varphi(f)$ for any $f \in E$ incident to T with $\varphi(f) \in \overline{\varphi}(T) \cup C$;
and
- (ii) $\overline{\pi}(v) = \overline{\varphi}(v)$ for any $v \in V(T)$.



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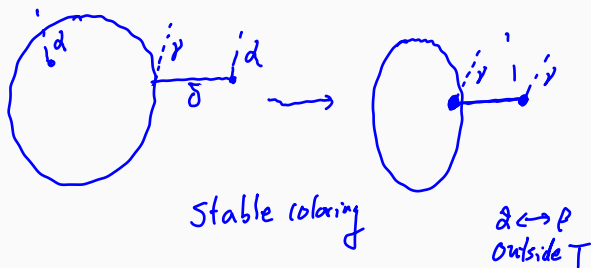
Lemma

Suppose T is *closed but not strongly closed* with respect to φ , with $|V(T)|$ odd. If π is a (T, C, φ) -stable coloring, then T is also *closed but not strongly closed* with respect to π .

exit path

exit path of T :

a path intersects T at exactly one vertex.



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Lemma

*Suppose T is closed with respect to φ , and $f \in E(u, v)$ is an edge in $\partial(T)$ with $v \in V(T)$. If there exists a $(T, C \cup \{\varphi(f)\}, \varphi)$ -stable coloring π , such that $\bar{\pi}(u) \cap \bar{\pi}(T) \neq \emptyset$, then **for any $\alpha \in \bar{\varphi}(v)$ there exists a $(T, C \cup \{\varphi(f)\}, \varphi)$ -stable coloring σ , such that v is a $(T, \sigma, \{\alpha, \varphi(f)\})$ -exit.***

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a stronger result

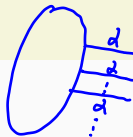
the above holds for every $(T, C \cup \{\varphi(f)\}, \varphi)$ -stable coloring.

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defective color α , edge $f \in \partial(T)$, and vertex v :

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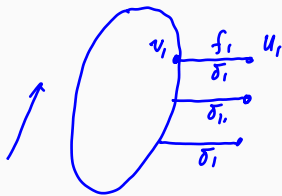
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- ▶ $|T_1|$ is **maximum** among all Tashkinov trees over all k -edge colorings in $\mathcal{C}^k(G - e)$.
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picking up a special edge

Along to the order \prec of T , let v_1 be the largest defective vertex. Assume v_1 is **maximum** over all $(T_1, \emptyset, \varphi)$ -stable colorings. Let $f_1 \in \partial(T_1)$ incident to v_1 such that $\pi_0(f_1) = \delta_1$ is a defective color. Let u_1 be the other endvertex of f_1 .



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Case 2: There is a color $\gamma_1 \in \bar{\pi}'(T_1) \cap \bar{\pi}'(u_1)$ for some $(T_1, \{\delta_0\}, \pi_0)$ -stable coloring π' :

We may assume $\gamma_1 \in \bar{\pi}'_0(v_1) \cap \bar{\pi}_0(u_1)$, and so path $P_1 = v_1 f_1 u_1$ is an $(T_1, \pi', \{\gamma_1, \delta_1\})$ -exit path.

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for any $(T_1, \{\delta_1\}, \pi_1)$ -stable coloring π^* and any missing color $\gamma_1 \in \bar{\pi}^*(v_1)$, vertex v_1 is a $(T_1, \pi^*, \{\gamma_1, \delta_1\})$ -exit, i.e., the (γ_1, δ_1) -chain $P_{v_1}(\gamma_1, \delta_1)$ at v_1 is a path and $V(P_{v_1}(\gamma_1, \delta_1) \cap V(T_1)) = 1$.



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pick up a $(T_1, \{\delta_1\}, \pi_0)$ -stable coloring π'_0 , let $\varphi_1 = \pi'_0 / P_{v_1}(\gamma_1, \delta_1)$, and let T_2 be the closure of $T_1(v_1)$ under coloring φ_1 .

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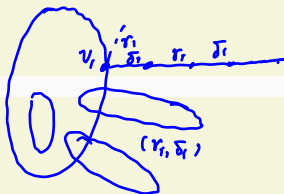
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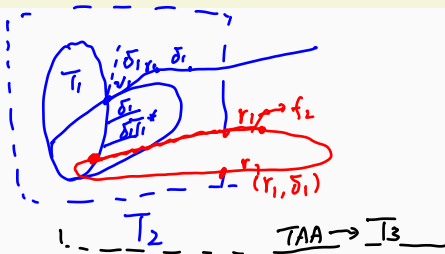
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- ▶ Let \mathcal{Q}_1 denote the set of all (γ_1, δ_1) -cycles intersecting T_1 . Then, all vertices $v \in V(T_1) \setminus \{v_1\}$ are on Q for some $Q \in \mathcal{Q}_1$.

Case R: $V(Q) - V(T_2) \neq \emptyset$:

Let $f_2 \in \partial_{\gamma_1}(T_2)$ such that there is a path Q with colors γ_1, δ_1 in T_2 connecting f_2 and T_1 . Let T_3 be the closure of $T_2 + f_2$ under the coloring φ_2 and define $S_2 = S_1$, $F_2 = \{f_1, f_2\}$ and $\Theta_3 = RE$, subject to



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- ▶ Since φ_2^* is also (T_1, S_1, φ_1) -stable, so in the extension $T_1 \rightarrow T_2$ (PE) we would have picked φ_2^* instead of φ_1 , a contradiction.

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formal statements:

With the above preparation, we will give the definition of Tashkinov series $(T_n, \varphi_{n-1}, S_{n-1}, F_{n-1}, \Theta_{n-1})$, where the maximality property will be defined separately.

$(T_1, \varphi_0, S_0, F_0, \Theta_0)$:

$\varphi_0 = \varphi \in \mathcal{C}^k(G - e_1)$, T_1 is a closure of e by applying TAA under φ_0 , and $S_0 = F_0 = \Theta_0 = \emptyset$. Suppose that we have defined

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Iteration n:

If T_n is strongly closed with respect to φ_{n-1} , stop. Else, we construct the tuple $(T_{n+1}, \varphi_n, S_n, F_n, \Theta_n)$ as follows. Set

$D_{n-1} = \cup_{i \leq n-1} S_i - \overline{\varphi}_{n-1}(T_{n-1})$ (so $D_0 = \emptyset$).

the condition for RE:

If there is a subscript $h \leq n - 1$ with $\Theta_h = PE$ and $S_h = \{\delta_h, \gamma_h\}$, such that some (γ_h, δ_h) -cycle O with respect to φ_{n-1} intersects both $V(T_h)$ and $V(G) - V(T_n)$, apply **RE**.

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RE:

Let f_n be an edge in $O \cap \partial(T_n)$ such that O contains a path L connecting f_n and $V(T_h)$ with $V(L) \subseteq V(T_n)$. Let $\varphi_n = \varphi_{n-1}$ and T_{n+1} be a closure of $T_n + f_n$ under φ_n . Set $\delta_n = \delta_h$, $\gamma_n = \gamma_h$, $S_n = \{\delta_n, \gamma_n\}$, $F_n = \{f_n\}$, and $\Theta_n = RE$.

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Else,

let v_n be the maximum defective vertex in the order \prec over all $(T_n, D_{n-1}, \varphi_{n-1})$ -stable colorings, let π_{n-1} be a corresponding coloring, let f_n be a defective edge (of T_n with respect to π_{n-1}) incident to v_n , let u_n be the other end of f_n , and let $\delta_n = \pi_{n-1}(f_n)$.

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for every $(T_n, D_{n-1} \cup \{\delta_n\}, \pi_{n-1})$ -stable coloring π , we have
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Let $\varphi_n = \pi_{n-1}$ and let T_{n+1} be a closure of $T_n + f_n$ under φ_n . Set $S_n = \{\delta_n\}$, $F_n = \{f_n\}$, and $\Theta_n = SE$.

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the preparation for PE:

pick a color γ_n in $\bar{\pi}_{n-1}(v_n)$ as follows. If $v_n = v_i$ for some $1 \leq i < n$ with $\Theta_i = PE$, let n' be the largest such i and let $\gamma_n = \delta_{n'}$. Otherwise, let γ_n be an arbitrary color in $\bar{\pi}_{n-1}(v_n)$. Let π'_{n-1} be an arbitrary $(T_n, D_{n-1} \cup \{\delta_n\}, \pi_{n-1})$ -stable coloring so that v_n is a $(T_n, \pi'_{n-1}, \{\gamma_n, \delta_n\})$ -exit

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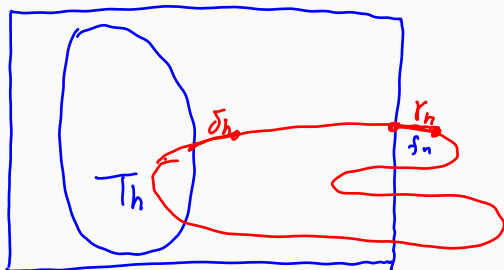
Let $\varphi_n = \pi'_{n-1}/P_{v_n}(\gamma_n, \delta_n, \pi'_{n-1})$. Let T_{n+1} be a closure of T_n under φ_n . Set $S_n = \{\delta_n, \gamma_n\}$, $F_n = \{f_n\}$, and $\Theta_n = PE$.

Extended Tashkinov tree (ETT)

ETT T of layer n :

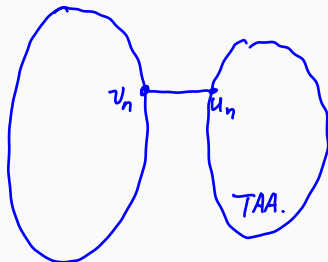
$T_n \subseteq T \subset T_{n+1}$ for a Tashkinov Series

$\{(T_i, \varphi_{i-1}, S_{i-1}, F_{i-1}, \Theta_{i-1}) : 1 \leq i \leq n+1\}$.



$$T_h$$
$$T_h + f_n$$

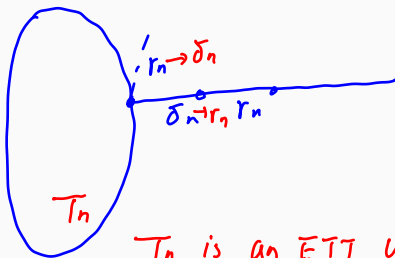
$\phi_{n+1} = \phi_n$
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T_n ϕ_n is ϕ_{n-1} stable.

$\rightarrow T_n$ is an ETT under coloring ϕ_{n+1}

Problems with PE



T_n is an ETT Under ϕ_{n-1}

but may not an ETT
Under ϕ_n



strongly related colorings

Let $\{(T_i, \varphi_{i-1}, S_{i-1}, F_{i-1}, \Theta_{i-1}) : 1 \leq i \leq n+1\}$ be a Tashkinov series and $\sigma_n \in \mathcal{C}^k(G - e_1)$.

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σ_n : $\varphi_n \bmod T_n$:

if every tree-sequence $T^* \supset T_n$ obtained from $T_n + f_n$ (resp. T_n) by TAA under σ_n when $\Theta_n = RE$ or SE (resp. when $\Theta_n = PE$) is an ETT under σ_n , with a corresponding Tashkinov series

$\{(T_i^*, \sigma_{i-1}, S_{i-1}, F_{i-1}, \Theta_{i-1}) : 1 \leq i \leq n+1\}$, satisfying the following conditions for all i with $1 \leq i \leq n$:

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(σ_n, T_n) -**ETT**:

T^* is called an ETT *corresponding* to (σ_n, T_n)

maximality property

an ETT T has the maximum property (MP) under φ_n :

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- ▶ $|T_1|$ is maximum among all Tashkinov trees T'_1 with respect to an edge $e' \in E$ and a coloring $\varphi'_0 \in \mathcal{C}^k(G - e')$,

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- ▶ that is, $|T_{i+1}|$ is maximum over all tree-sequences T'_{i+1} , which is a closure of $T_i + f_i$ (resp. T_i) under a (T_i, D_i, φ_i) -stable coloring φ'_i if $\Theta_i = RE$ or SE (resp. if $\Theta_i = PE$), where f_i is the connecting edge in F_i .

maximality property

an ETT T has the maximum property (MP) under φ_n :

if

- ▶ $|T_1|$ is maximum among all Tashkinov trees T'_1 with respect to an edge $e' \in E$ and a coloring $\varphi'_0 \in \mathcal{C}^k(G - e')$,
- ▶ $|T_{i+1}|$ is maximum over all (T_i, D_i, φ_i) -stable colorings for any i with $1 \leq i \leq n-1$;
- ▶ that is, $|T_{i+1}|$ is maximum over all tree-sequences T'_{i+1} , which is a closure of $T_i + f_i$ (resp. T_i) under a (T_i, D_i, φ_i) -stable coloring φ'_i if $\Theta_i = RE$ or SE (resp. if $\Theta_i = PE$), where f_i is the connecting edge in F_i .

Note:

In the above definition $|T_{n+1}|$ is not required to be maximum over all (T_n, D_n, φ_n) -stable colorings.

the main theorem -1

Let T be an ETT based on the Tashkinov series

$\{(T_i, \varphi_{i-1}, S_{i-1}, F_{i-1}, \Theta_{i-1}) : 1 \leq i \leq n+1\}$. If T has MP under φ_n , then the following statements hold:

the main theorem -1

Let T be an ETT based on the Tashkinov series

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normality:

$V(T)$ is normal with respect to φ_n .

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interchaneability

For any two colors α, β with $\overline{\varphi}_n(T_n) \cap \{\alpha, \beta\} \neq \emptyset$, there is exactly one (α, β) -path intersecting $V(T_n)$.

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interchaneability

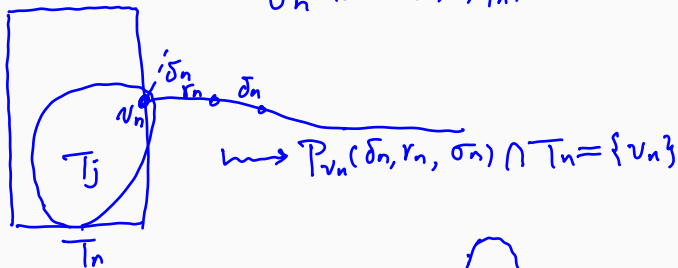
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exiting path

If $\Theta_n = PE$, then $P_{v_n}(\gamma_n, \delta_n, \sigma_n)$ contains precisely one vertex, v_n , from T_n for any (T_n, D_n, φ_n) -stable coloring σ_n .

Proof of exit path property:

σ_n is (T_n, \dots, ϕ_n) -stable



Assume $v_n \in T_j \setminus T_{j-1}$



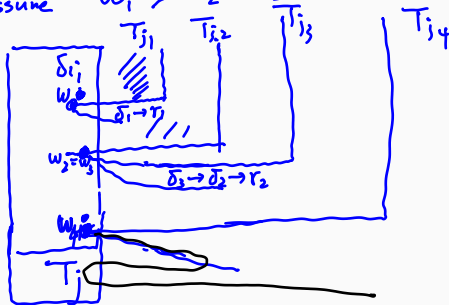
Assume. $\theta_{j_1} = \theta_{j_2} = \theta_{j_3} = \theta_{j_4} = PE.$

$$\bar{j}_1 < \bar{j}_2 < \bar{j}_3 < \bar{j}_4$$

With supporting vertex $w_1, w_2, w_3, w_4 \in \overline{T_j}$

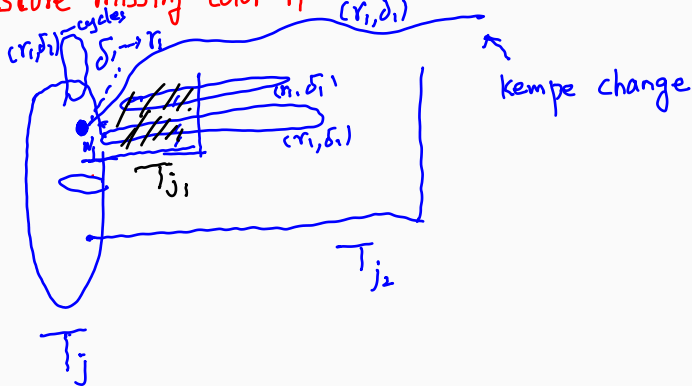
$$\hookrightarrow w_1 \succcurlyeq w_2 \succcurlyeq w_3 \succcurlyeq w_4.$$

Assume $w_1 > w_2 = w_3 > w_4$

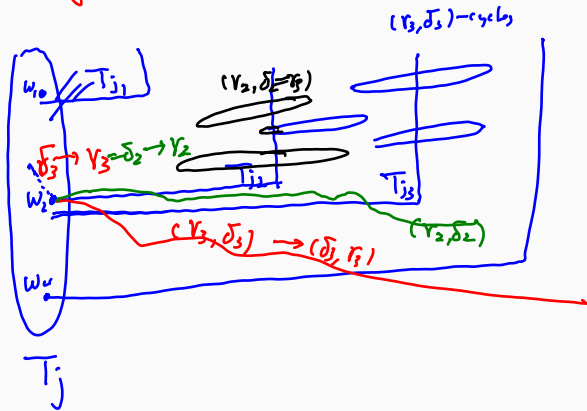


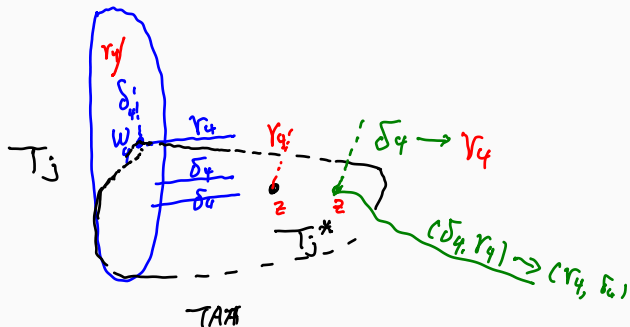
$\{r_1, \delta_1\}, \{r_2, \delta_1=r_3, \delta_3\}, \{r_4, \delta_4\}$ disjoint.

Restore missing color r_i



Restore missing color r_2





$T_j(w_q) \cup T_j^*(z) \not\equiv T_j$ a contradiction

